

# Cryptography Part IV: Encryption Modes

## *ECE 4156/6156 Hardware-Oriented Security and Trust*

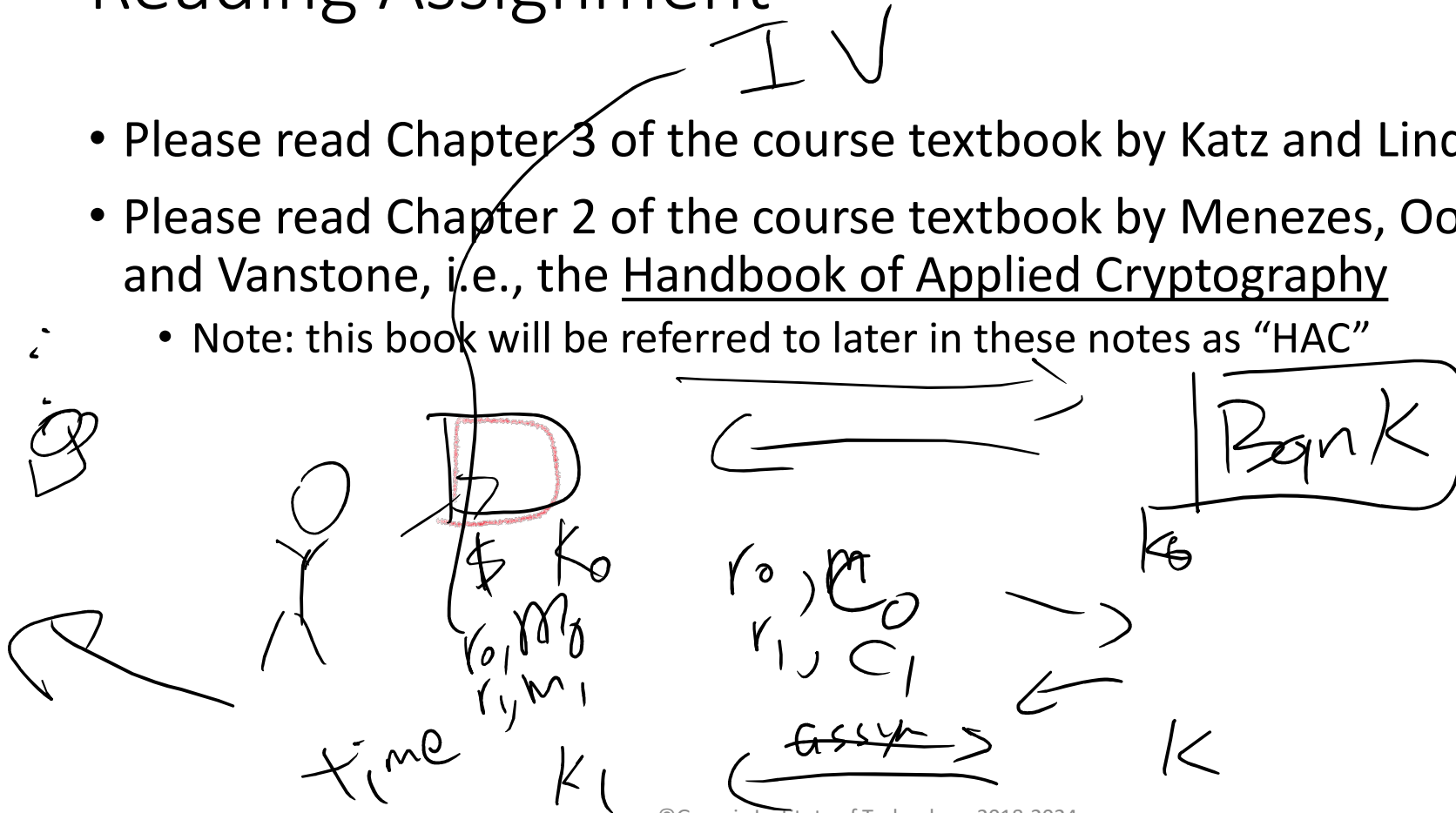
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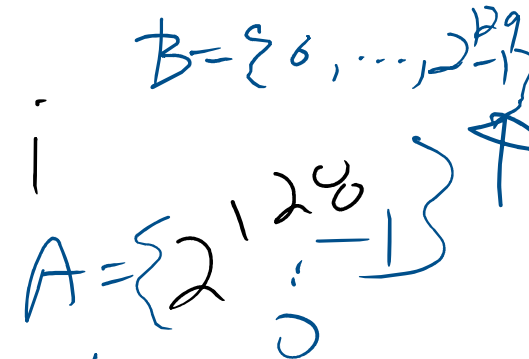
# Reading Assignment

- Please read Chapter 3 of the course textbook by Katz and Lindell
- Please read Chapter 2 of the course textbook by Menezes, Oorschot and Vanstone, i.e., the Handbook of Applied Cryptography
  - Note: this book will be referred to later in these notes as "HAC"



# Notation from HAC (pages 49 and 50)

- $\mathbb{R}$  is the set of real numbers, e.g.,  $\pi \in \mathbb{R}$  while  $\sqrt{-1} \notin \mathbb{R}$
- $\mathbb{Z}$  is the set of integers, i.e.,  $\mathbb{Z} = \{\dots, -2, -1, 0, 1, 2, 3, \dots\}$
- $f: A \rightarrow B$  is a function that maps each  $a \in A$  to precisely one  $b \in B$ . Given that  $f(a) = b$ , then  $b$  is called the **image of  $a$** , and  $a$  is called the **preimage of  $b$** . The set  $A$  is called the **domain of  $f$** .  $B$  is the range
- A function  $f: A \rightarrow B$  is 1-1 (**one-to-one**) or **injective** if each element in  $B$  is the image of **at most one element in  $A$** . Hence  $f(a_1) = f(a_2)$  implies  $a_1 = a_2$ .
- A function  $f: A \rightarrow B$  is **onto** or **surjective** if each  $b \in B$  is the image of at least **one  $a \in A$** .
- A function  $f: A \rightarrow B$  is a **bijection** if it is both **one-to-one** and **onto**. If  $f$  is a **bijection** between finite sets  $A$  and  $B$ , then  $|A| = |B|$ . If  $f$  is a bijection between a set  $A$  and itself, then  $f$  is called a **permutation on  $A$** .



$$f: A \rightarrow A$$

other texts

## Additional Notation (from Prof. Mooney)

- $\mathbb{N}$  is the set of natural numbers, i.e.,  $\mathbb{N} = \{1, 2, 3, \dots\}$
- $f: A \rightarrow B$  is a function that maps each  $a \in A$  to precisely one  $b \in B$ . Given that  $f(a) = b$ , then  $b$  is called the *image* of  $a$ , and  $a$  is called the *preimage* of  $b$ . The set  $A$  is called the *domain* of  $f$ . The set  $B$  is called the *range* of  $f$ .



# Notation from Katz and Lindell

- $\{X\}$  is a set of elements of type  $X$
- $m$  is a message in plaintext
  - $m$  is composed of smaller blocks  $m_i$  suitable for individual encryption steps
  - $m = \{m_i\}$
- $c_i$  is ciphertext corresponding to message block  $m_i$
- $c$  is ciphertext corresponding to message  $m$
- $Enc_k$  is encryption with key  $k$ 
  - $c \leftarrow Enc_k(m)$  (NOTE: there may be multiple valid ciphertexts!!!)
  - $c := Enc_k(m)$  (NOTE: deterministic, i.e., there is only one valid ciphertext)
- $Dec_k$  is decryption with key  $k$ 
  - $m := Dec_k(c)$  (NOTE: deterministic, i.e., there is only one valid message)
- $\langle a, b \rangle$  is a concatenation of  $a$  followed by  $b$
- $a || b$  is unambiguous concatenation of  $a$  followed by  $b$ ; “unambiguous concatenation” means that  $a$  and  $b$  can be recovered from  $a || b$

$a || b$   
 $a_1 a_0 || b_1 b_0$

for  $n$  bits  $\downarrow$  factorial

$($   
  $a_1 a_0 b_1 b_0$   
  $a_1 b_1 a_0 b_0$   
  $a_1 b_0 a_0 b_1$   
  $)$

$(2^n)!$

$$6 \times 4 = 24$$

# Notation from Katz and Lindell (continued)

- $\text{PrivK}$  is an experiment involving a private key
- $A$  is an adversary
- $\text{eav}$  refers to eavesdropping and obtaining ciphertext only
- $\pi = (\text{Gen}, \text{Enc}, \text{Dec})$  is an encryption scheme
- $\text{PrivK}_{A,\pi}^{\text{eav}}$  is an experiment involving a private key encryption scheme  $\pi$  with an adversary  $A$  only with access to ciphertext
- $\text{PrivK}_{A,\pi}^{\text{eav}}(n)$  is an experiment involving a private key encryption scheme  $\pi$  with a key of size  $n$  and an adversary  $A$  only with access to ciphertext
- $\text{PrivK}_{A,\pi}^{\text{eav}}(n, 0)$  is an experiment involving a private key encryption scheme  $\pi$  with a key of size  $n$ , message selection bit  $b=0$  and an adversary  $A$  only with ciphertext<sup>1</sup>
- $A$  does not have access to additional information, e.g.,  $A$  does not have valid plaintext-ciphertext pairs obtained through other means
- Probabilistic Polynomial Time or PPT refers to algorithms which take at most polynomial time while having free use of a true random number generator

$m_0$      $m_1$      $b=1$   
 $C = \text{Enc}_K(m_1)$   
 if  $b=0$   
 $C = \text{Enc}_K(m_0)$

## Recall Slide 11 from Crypto I Lecture

- $M$  is a set of all possible messages, i.e., the message space
- $C$  is a set of all possible ciphertexts, i.e., the ciphertext space
- $Gen$  is a key generation procedure
  - The output of  $Gen$  is key  $k$
  - $Gen$  may or may not require an input

## Now We Add the Following

- $K$  is a set of all possible keys, i.e., the key space
- In the one-time pad,  $|K| = |M| = |C| = \ell$

## Where We Are So Far: Status

**DEFINITION 2.5** Encryption scheme  $\pi = (\text{Gen}, \text{Enc}, \text{Dec})$  with message space  $M$  is **perfectly indistinguishable** if for every  $A$  it holds that

$$\Pr \left[ \text{PrivK}_{A,\pi}^{\text{eav}} = 1 \right] = \frac{1}{2}.$$

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**DEFINITION 3.8** A private-key encryption scheme  $\pi = (\text{Gen}, \text{Enc}, \text{Dec})$  has **indistinguishable encryptions in the presence of an eavesdropper**, or is **EAV-secure**, if for all PPT adversaries  $A$  there is a negligible function  $\text{negl}$  such that, for all  $n$ ,

$$\Pr [\text{PrivK}_{A,\pi}^{\text{eav}}(n) = 1] \leq \frac{1}{2} + \text{negl}(n),$$

$\frac{1}{2} + \text{negl}(n)$

where the probability is taken over the randomness used by  $A$  and the randomness used in the experiment (for choosing the key and bit  $b$ , as well as any randomness used by  $\text{Enc}$ ).

## Where We Are So Far: Status

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where the probability is taken over the randomness used by  $A$  and the randomness used in the experiment (for choosing the key and bit  $b$ , as well as any randomness used by  $\text{Enc}$ ).

## Where We Are So Far: Status (continued)

**DEFINITION 3.9** A private-key encryption scheme  $\pi = (\text{Gen}, \text{Enc}, \text{Dec})$  has **indistinguishable encryptions in the presence of an eavesdropper** if for all PPT adversaries  $A$  there is a negligible function  $\text{negl}$  such that

$$\left| \Pr [\text{out}_A(\text{PrivK}_{A,\pi}^{\text{eav}}(n, 0)) = 1] - \Pr [\text{out}_A(\text{PrivK}_{A,\pi}^{\text{eav}}(n, 1)) = 1] \right| \leq \text{negl}(n).$$

$$\left| \Pr [\text{out}_A(\text{PrivK}_{A,\pi}^{\text{FAU}}(n, 0)) = 0] - \Pr [\text{out}_A(\text{PrivK}_{A,\pi}^{\text{eav}}(n, 1)) = 0] \right| \leq \text{negl}(n)$$



# Where We Are So Far: Status (continued)

$m_1, m_0$

$\{0,1\}^?$

**DEFINITION 3.9** A private-key encryption scheme  $\pi = (\text{Gen}, \text{Enc}, \text{Dec})$  has **indistinguishable encryptions in the presence of an eavesdropper** if for all PPT adversaries  $A$  there is a negligible function  $\text{negl}$  such that

$$\left| \Pr [\text{out}_A(\text{PrivK}_{A,\pi}^{\text{eav}}(n, 0)) = 1] - \Pr [\text{out}_A(\text{PrivK}_{A,\pi}^{\text{eav}}(n, 1)) = 1] \right| \leq \text{negl}(n).$$

$\ell = 128$

**THEOREM 3.10** Let  $\pi = (\text{Enc}, \text{Dec})$  be a **fixed-length** private-key encryption scheme for messages of length  $\ell$  that has **indistinguishable encryptions in the presence of an eavesdropper**. Then for **all PPT adversaries  $A$**  and any  $i \in \{1, \dots, \ell\}$ , there is a negligible function  $\text{negl}$  such that

$$\Pr [A(1^n, \text{Enc}_k(m)) = m^i] \leq \frac{1}{2} + \text{negl}(n),$$

$m^i$

where the probability is taken over **uniform  $m \in \{0,1\}^\ell$**  and  **$k \in \{0,1\}^n$** , the randomness of  $A$ , and the randomness of **Enc**.

unknown

$m_3$

get  $m_3^{19}$

## Where We Are So Far: Status (continued)

**THEOREM 3.10** *Let  $\pi = (\text{Enc}, \text{Dec})$  be a fixed-length private-key encryption scheme for messages of length  $\ell$  that has indistinguishable encryptions in the presence of an eavesdropper. Then for all PPT adversaries  $A$  and any  $i \in \{1, \dots, \ell\}$ , there is a negligible function  $\text{negl}$  such that*

$$\Pr [A(1^n, \text{Enc}_k(m)) = m^i] \leq \frac{1}{2} + \text{negl}(n),$$

*where the probability is taken over uniform  $m \in \{0,1\}^\ell$  and  $k \in \{0,1\}^n$ , the randomness of  $A$ , and the randomness of  $\text{Enc}$ .*

**DEFINITION 3.14** Let  $\ell$  be a polynomial and let  $G$  be a deterministic polynomial-time algorithm such that for any  $n$  and any input  $s \in \{0, 1\}^n$ , the result  $G(s)$  is a string of length  $\ell(n)$ . We say that  $G$  is a pseudorandom generator if the following conditions hold:

1. (**Expansion:**) For every  $n$  it holds that  $\ell(n) > n$ .
2. (**Pseudorandomness:**) For any PPT algorithm  $D$ , there is a negligible function  $\text{negl}$  such that

$$|\Pr[D(G(s)) = 1] - \Pr[D(r) = 1]| \leq \text{negl}(n),$$

where the first probability is taken over uniform choice of  $s \in \{0, 1\}^n$  and the randomness of  $D$ , and the second probability is taken over uniform choice of  $r \in \{0, 1\}^{\ell(n)}$  and the randomness of  $D$ .

We call  $\ell$  the expansion factor of  $G$ .



# Framework

pseudorandom  
bit stream  
generator  
stream cipher

**ALGORITHM 3.16**  
 Constructing  $G_\ell$  from (Init, GetBits)

**Input:** Seed  $s$  and optional initialization vector  $IV$   
**Output:**  $y_1, \dots, y_\ell$

$st_0 := \text{Init}(s, IV)$   
**for**  $i = 1$  **to**  $\ell$ :  
      $(y_i, st_i) := \text{GetBits}(st_{i-1})$   
**return**  $y_1, \dots, y_\ell$

GetBits  
not  
specified

$$|y_i| = 1$$

st:

$y_1$   
 $\vdots$   
 $y_\ell$

Why is it not CPA-secure?

### CONSTRUCTION 3.17

Let  $G$  be a pseudorandom generator with expansion factor  $\ell$ . Define a private-key encryption scheme for messages of length  $\ell$  as follows:

- Gen: on input  $1^n$ , choose uniform  $k \in \{0, 1\}^n$  and output it as the key.
- Enc: on input a key  $k \in \{0, 1\}^n$  and a message  $m \in \{0, 1\}^{\ell(n)}$ , output the ciphertext
$$c := G(k) \oplus m.$$
- Dec: on input a key  $k \in \{0, 1\}^n$  and a ciphertext  $c \in \{0, 1\}^{\ell(n)}$ , output the message
$$m := G(k) \oplus c.$$

A private-key encryption scheme based on any pseudorandom generator.

query

$m_0$

**THEOREM 3.18** *If  $G$  is a pseudorandom generator, then Construction 3.17 is a fixed-length private-key encryption scheme that has indistinguishable encryptions in the presence of an eavesdropper.*

**PROOF** Let  $\Pi$  denote Construction 3.17. We show that  $\Pi$  satisfies Definition 3.8. Namely, we show that for any probabilistic polynomial-time adversary  $\mathcal{A}$  there is a negligible function  $\text{negl}$  such that

$$\Pr [\text{PrivK}_{\mathcal{A}, \Pi}^{\text{eav}}(n) = 1] \leq \frac{1}{2} + \text{negl}(n). \quad (3.2)$$



# Result(s)

- Given a pseudorandom number generator (PRNG)  $G$ 
  - An exact example has yet to be provided
  - • Definition 3.14, however, provides a framework to evaluate pseudorandom number generators
  - A PRNG efficiently expands a uniform (random) seed into a much larger pseudorandom output
    - Keeping the output length under a specified length provides number sequences which have no currently known way to be efficiently distinguished from a truly random number sequence
    - After the length is reached, use a new seed; note also the seed should be large, e.g., 128 bits, so that an adversary cannot guess the seed with any non-negligible probability of success
    - The seeds should be generated by a truly random physical process
  - No formal proof that PRNG's exist has been provided; but many practical constructions exist *that have passed the "test of time"*
- Construction 3.17 defines an encryption scheme  $\pi$  using  $G$
- Theorem 3.18 proves that Construction 3.17 is EAV-secure

box" that encrypts messages of  $\mathcal{A}$ 's choice using a key  $k$  that is unknown to  $\mathcal{A}$ . That is, we imagine  $\mathcal{A}$  has access to an "oracle"  $\text{Enc}_k(\cdot)$ ; when  $\mathcal{A}$  queries this oracle by providing it with a message  $m$  as input, the oracle returns a ciphertext  $c \leftarrow \text{Enc}_k(m)$  as the reply. (When  $\text{Enc}$  is randomized, the oracle uses fresh randomness each time it answers a query.) The adversary is allowed to interact with the encryption oracle adaptively, as many times as it likes.

Consider the following experiment defined for any encryption scheme  $\Pi = (\text{Gen}, \text{Enc}, \text{Dec})$ , adversary  $\mathcal{A}$ , and value  $n$  for the security parameter:

The **CPA** indistinguishability experiment  $\text{PrivK}_{\mathcal{A}, \Pi}^{\text{cpa}}(n)$ :

1. A key  $k$  is generated by running  $\text{Gen}(1^n)$ .
2. The adversary  $\mathcal{A}$  is given input  $1^n$  and oracle access to  $\text{Enc}_k(\cdot)$ , and outputs a pair of messages  $m_0, m_1$  of the same length.
3. A uniform bit  $b \in \{0, 1\}$  is chosen, and then a ciphertext  $c \leftarrow \text{Enc}_k(m_b)$  is computed and given to  $\mathcal{A}$ .
4. The adversary  $\mathcal{A}$  continues to have oracle access to  $\text{Enc}_k(\cdot)$ , and outputs a bit  $\tilde{b}$ .
5. The output of the experiment is defined to be 1 if  $\tilde{b} = b$ , and 0 otherwise. In the former case, we say that  $\mathcal{A}$  succeeds.

$\mathcal{A}$   
 $m_0, m_1$   
 $c$   
 Polynomial (in  $n$ )  
 $c_i = \text{Enc}_k(m_i)$   
 a.s.  $i \leq nc$   
 or  $c$  a constant  
 $\tilde{b}$

$\Pi$   
 $k$   
 $m_0, m_1$   
 $b$   
 $c_0, c_1$   
 $c = c_b$



**DEFINITION 3.22** A private-key encryption scheme  $\Pi = (\text{Gen}, \text{Enc}, \text{Dec})$  has indistinguishable encryptions under a chosen-plaintext attack, or is CPA-secure, if for all probabilistic polynomial-time adversaries  $\mathcal{A}$  there is a negligible function  $\text{negl}$  such that

$$\Pr \left[ \text{PrivK}_{\mathcal{A}, \Pi}^{\text{cpa}}(n) = 1 \right] \leq \frac{1}{2} + \text{negl}(n),$$

where the probability is taken over the randomness used by  $\mathcal{A}$ , as well as the randomness used in the experiment.

This Concludes Where We Are So Far!!!

# Construction 3.17 is not CPA-secure

- Why?

# Construction 3.17 is not CPA-secure

- Why?
- In the CPA indistinguishability experiment  $\text{PrivK}_{A,\pi}^{\text{cpa}}(n)$  step 2 provides oracle access to  $\text{Enc}_k(\cdot)$ 
  - (see page 74 of Katz and Lindell for the full list of steps)
  - Note that even though key  $k$  is secret, the adversary nonetheless has access to  $\text{Enc}_k(\cdot)$
- In step 4 the adversary continues to have oracle access prior to issuing a decision
- Clearly the adversary can simply compute  $\text{Enc}_k(m_0)$  and  $\text{Enc}_k(m_1)$ !

# Keyed Functions<sup>2</sup>

key  $K$   $m$   $C$  &

- A keyed function  $F: \{0,1\}^* \times \{0,1\}^* \rightarrow \{0,1\}^*$  has two inputs where the first is the key  $k$

- Typically the inputs and output all have the same size  $n$ , i.e.,  $* = n$

- Given key  $k$ , the keyed function is  $F_k$

- Then we have  $F_k: \{0,1\}^n \rightarrow \{0,1\}^n$  where  $F_k(x) = F(k, x)$

$$k \leftarrow \{0,1\}^n$$

$$F: K \times M \rightarrow C$$
$$F_k(m)$$

$$\text{AES}(k, m_0)$$
$$= \text{AES}_k(m_0)$$

in  
above

four balls: red, green, blue, yellow

$n=2$   $f(00) = \text{pick a ball, } y \Rightarrow f(00) = y$   
 replace  $y$   $f(01) = \text{pick } g$   $f(01) = g$   
 replace  $g$   $f(10) = \text{pick } r$   $f(10) = r$   
 $f(11) = \text{pick } r$   $f(11) = r$   
 replace  $r$   $f(11) = \text{pick a ball}$   
 $y$

• Keyed function  $F_k$  is a **pseudorandom function** if for all PPT distinguishers  $D$  the chance that  $D$  can distinguish  $F_k$  is from a **uniform function  $f$**  is negligible.<sup>3</sup>

• Note that a uniform function is not necessarily bijective

• If  $F_k: \{0,1\}^n \rightarrow \{0,1\}^n$ , the comparable uniform function  $f: \{0,1\}^n \rightarrow \{0,1\}^n$  may possibly have  $f(x) = f(y)$  for  $x \neq y$  with probability  $\frac{1}{2^n}$

$$f(00) = y = f(11)$$

<sup>3</sup> See Def. 3.25 on page 79 of Katz and Lindell.

four balls

3 balls  
2 balls

$f(00) = \text{pick } y$   
 $f(01) = \text{pick } y$   
 $f(10) = \text{pick } y$

$f(00) = y$   
 $f(01) = g$   
 $f(10) = F$   
 $f(11) = b$

# Pseudorandom Permutation

- Keyed function  $F_k$  is a **pseudorandom permutation** if for all PPT distinguishers  $D$  the chance that  $D$  can distinguish  $F_k$  is from a uniform permutation  $f$  is negligible.<sup>4</sup>

- Function  $f: \{0,1\}^n \rightarrow \{0,1\}^n$  is a uniform permutation if it is bijective.

- In practice, for **sufficiently large  $n$** , the distinction between a uniform function and a uniform permutation is indistinguishable.<sup>4</sup>

SHAD:  $\{0,1\}^{an} \rightarrow \{0,1\}^n$   
 $a > 1$

$f(x) = b$   
 $f(y) = b$

$f^{-1}(b) = ?$

x  
y

- A uniform function  $f: \{0,1\}^{an} \rightarrow \{0,1\}^n$  is deterministic, i.e., for each input the output is defined, known and does not change
- The inverse of a uniform function  $f: \{0,1\}^{an} \rightarrow \{0,1\}^n$ , i.e.,  $f^{-1}: \{0,1\}^n \rightarrow \{0,1\}^{an}$  is typically not going to be deterministic because there may be an input with multiple valid outputs
- The inverse of a uniform function  $f: A \rightarrow B$ , i.e.,  $f^{-1}: B \rightarrow A$  is typically not going to be deterministic because there may be an input with multiple valid outputs





$n = 128$

$r_1$  could be IV,  $r_1 \neq r_2$

MIDWAY

MIDWAY

$K$  is secret

$F_K(\cdot)$

in the clear

↓

$C_1 = \langle r_1, F_K(r_1) \oplus \text{MIDWAY} \rangle$

Ciphertext

$C_2 = \langle r_2, F_K(r_2) \oplus \text{MIDWAY} \rangle$

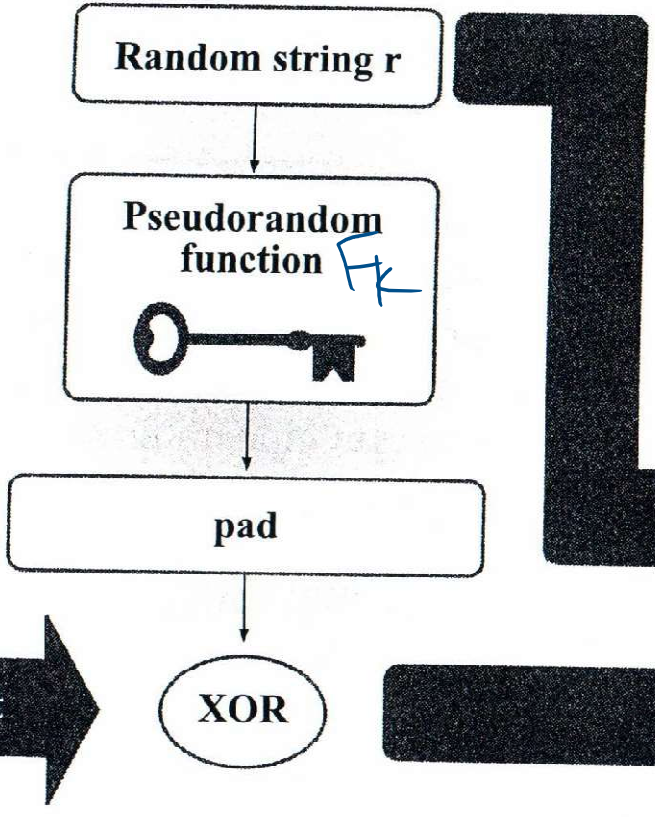


FIGURE 3.3: Encryption with a pseudorandom function.

$r_1 = r_2$  w/ probability  $\frac{1}{2^{128}}$

$$\frac{128^3}{2^{128}}$$

F Adv.  
GetBits

Stream cipher

Const. 2.29  
F  
GetBits

**CONSTRUCTION 3.29**

Let  $F$  be a pseudorandom function. Define a stream cipher (Init, GetBits), where each call to GetBits outputs  $n$  bits, as follows:

- Init: on input  $s \in \{0, 1\}^n$  and  $IV \in \{0, 1\}^n$ , set  $st_0 := (s, IV)$ .
- GetBits: on input  $st_i = (s, IV)$ , compute  $IV' := IV + 1$  and set  $y := F_s(IV')$  and  $st_{i+1} := (s, IV')$ . Output  $(y, st_{i+1})$ .

A stream cipher from any pseudorandom function/block cipher.

is  $\frac{pdx(n)}{2^n}$  negl.? Yes

$IV' = IV + 1$   
Y

Init  
n  
IV  
adv. knows  
F  
of  
st<sub>0</sub>  
IV'

s  
n  
Init  
st<sub>0</sub>  
IV  
st<sub>i</sub>

### **CONSTRUCTION 3.30**

Let  $F$  be a pseudorandom function. Define a private-key encryption scheme for messages of length  $n$  as follows:

- **Gen:** on input  $1^n$ , choose uniform  $k \in \{0, 1\}^n$  and output it.
- **Enc:** on input a key  $k \in \{0, 1\}^n$  and a message  $m \in \{0, 1\}^n$ , choose uniform  $r \in \{0, 1\}^n$  and output the ciphertext

$$c := \langle r, F_k(r) \oplus m \rangle.$$

- **Dec:** on input a key  $k \in \{0, 1\}^n$  and a ciphertext  $c = \langle r, s \rangle$ , output the plaintext message

$$m := F_k(r) \oplus s.$$

A CPA-secure encryption scheme from any pseudorandom function.

$$n=128$$

$$|C| = 256$$

### CONSTRUCTION 3.30

Let  $F$  be a pseudorandom function. Define a private-key encryption scheme for messages of length  $n$  as follows:

- **Gen**: on input  $1^n$ , choose uniform  $k \in \{0, 1\}^n$  and output it.
- **Enc**: on input a key  $k \in \{0, 1\}^n$  and a message  $m \in \{0, 1\}^n$ , choose uniform  $r \in \{0, 1\}^n$  and output the ciphertext

$$c := \langle r, F_k(r) \oplus m \rangle = \langle r, s \rangle$$

$$s = F_k(r) \oplus m$$

- **Dec**: on input a key  $k \in \{0, 1\}^n$  and a ciphertext  $c = \langle r, s \rangle$ , output the plaintext message

$$m := F_k(r) \oplus s.$$

A CPA-secure encryption scheme from any pseudorandom function.

$$s_1 = F_K(r_1) \oplus m_1$$

$$c_1 = \langle r_1, s_1 \rangle$$

$m_1$   
Alice  
 $K$

$$c_2 = \langle r_2, s_2 \rangle$$

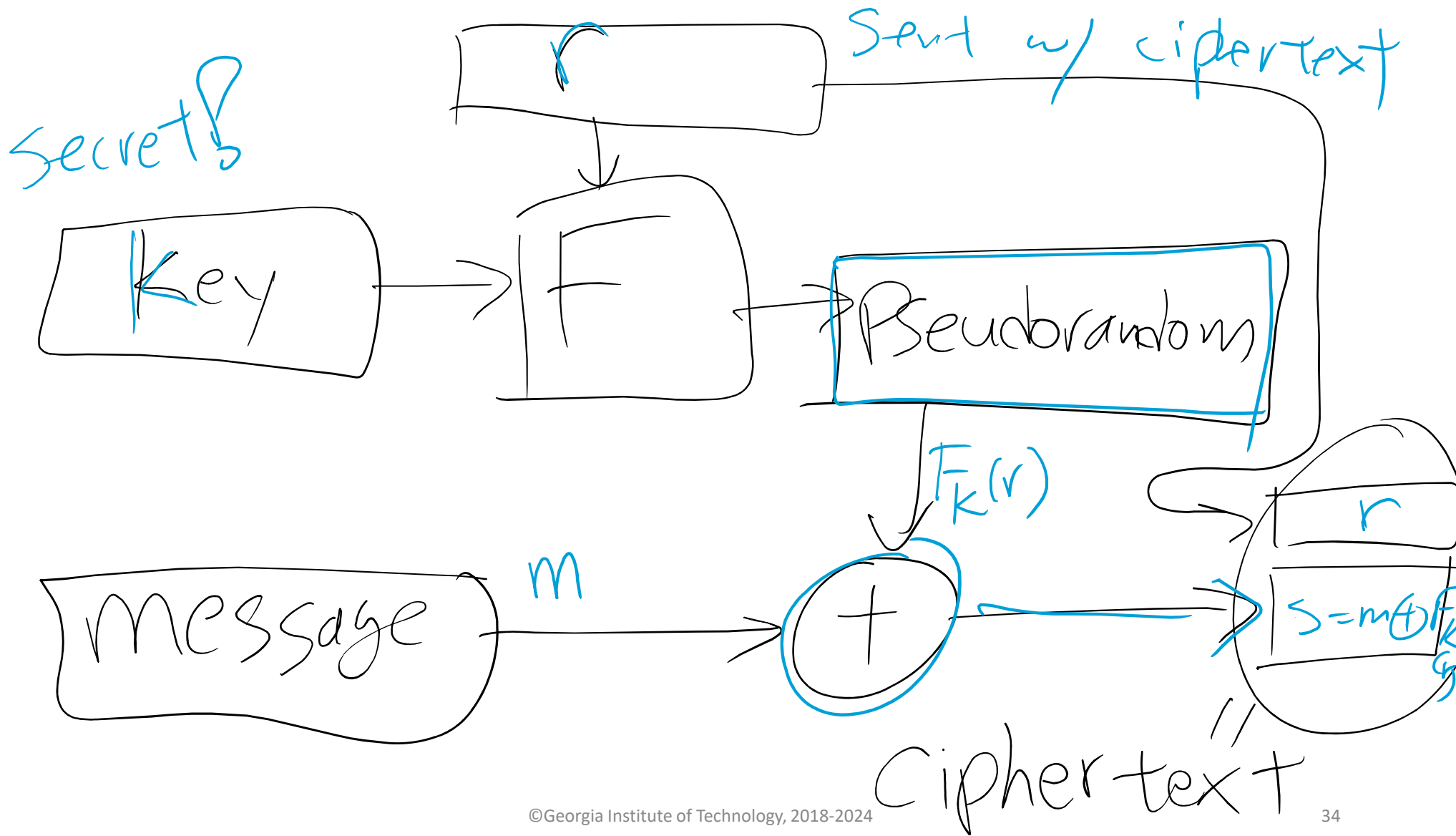
⋮

$$c_l = \langle r_l, s_l \rangle$$

$m_l$   
 $m_b$

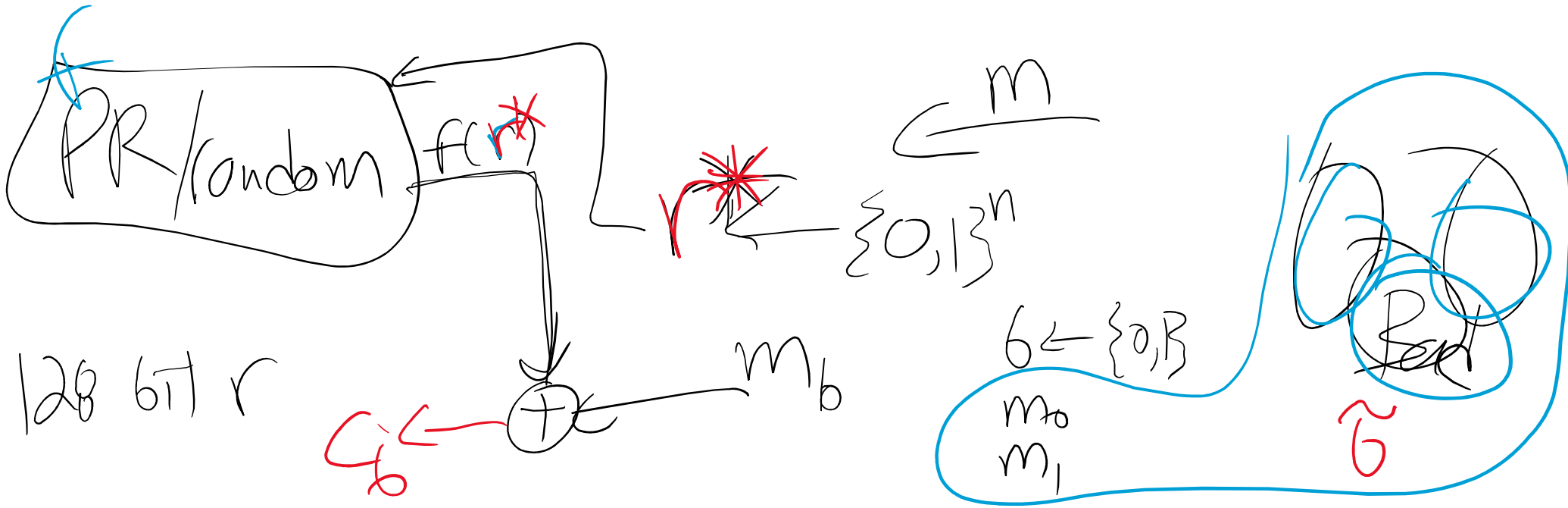
Bob  
 $K$   
 $m_j = s \oplus F_K(r_j)$   
 $m_2$

$m_l$   $m_b$   
 $c_b = \langle r_b, s_b \rangle$





$\emptyset \neq NP$



128 bit r

$c_b(r^*, f(r^*) \oplus m)$   $\text{negl} = \frac{\text{Polynomial}}{2^{128}}$

$r^* = \text{case that } r^* \text{ chosen} = \text{ciphertext}$

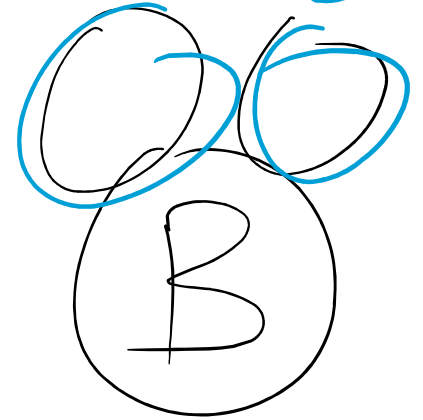
$\text{if } r^*_1 \neq r^*_0, \text{ CPA-secure}$



Oracle

Conclusion:  
poly. sized set  $\{r_a\}$  has  $\frac{\text{poly}(n)}{2^n}$

$m_0$



random  
||

$$C_a = \langle r_a, S_a \rangle$$

$(f_{k(r_a)}(m_0))$   
 $(f_{k(r_a)}(m_1))$

$S_a ?$

$(r_a \neq r_{i=0}^*$   
 $r_a \neq r_{i=1}^*)$

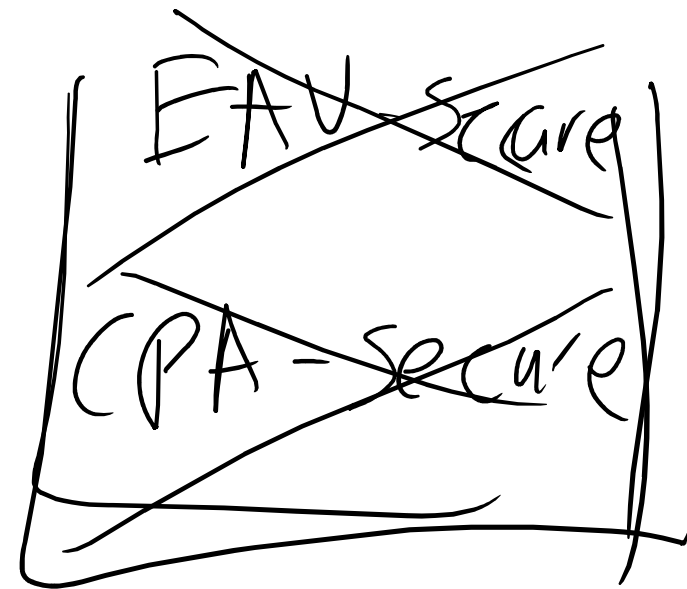
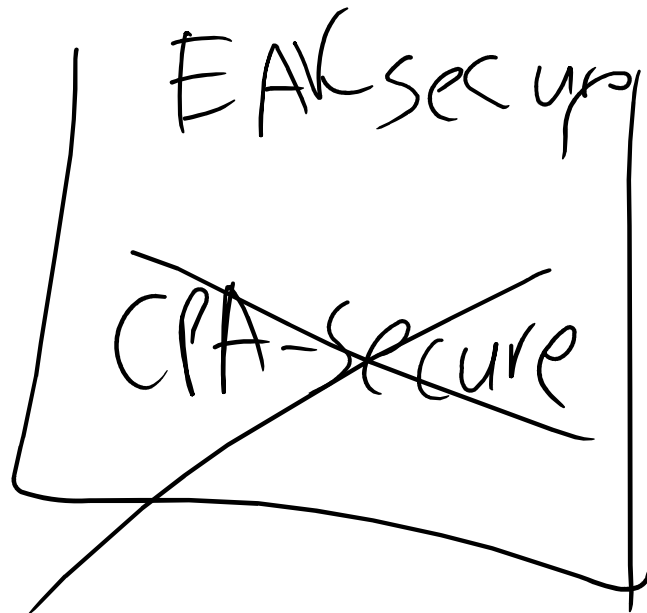
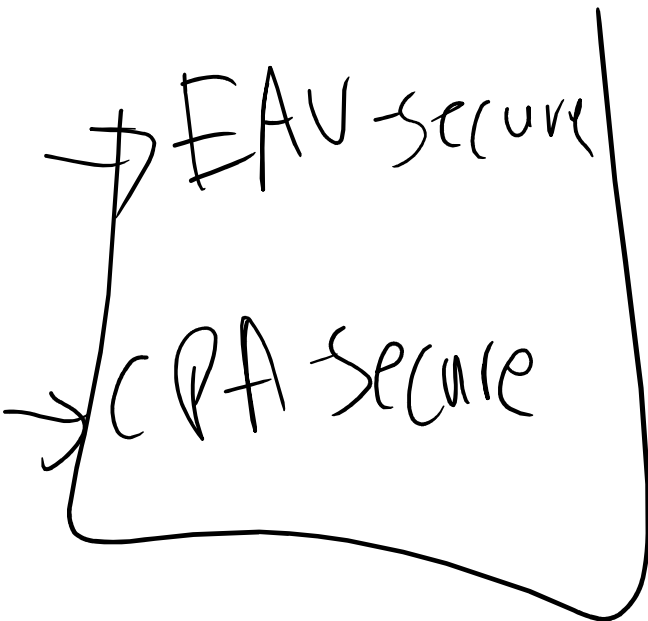
$m_0, m_1$

$f_{k_{guess}(r_a)}$

Ciphertext  
 $\langle r_a, S_a \rangle$

candidate

F<sub>cand</sub>



# Given $F$ is Pseudorandom, Construction 3.30 is CPA-secure

I am happy in office hours

- I hereby state the following:
- “The book goes through the proof in more detail, I just want you to get the intuition behind why Construction 3.30 is CPA-secure...I am not going to assign the proof on a homework or a test, guaranteed, ..., however, **understanding** the intuition behind the proof is required and could be asked on a homework or a test!”

hw3: give examples, give intuition  
e.g., the chance the  $r_a = r^*$  or  $r_a = p^*$  is essentially zero

SYNCH. decrypt  
 using Counter. 3.29  
 Stream cipher

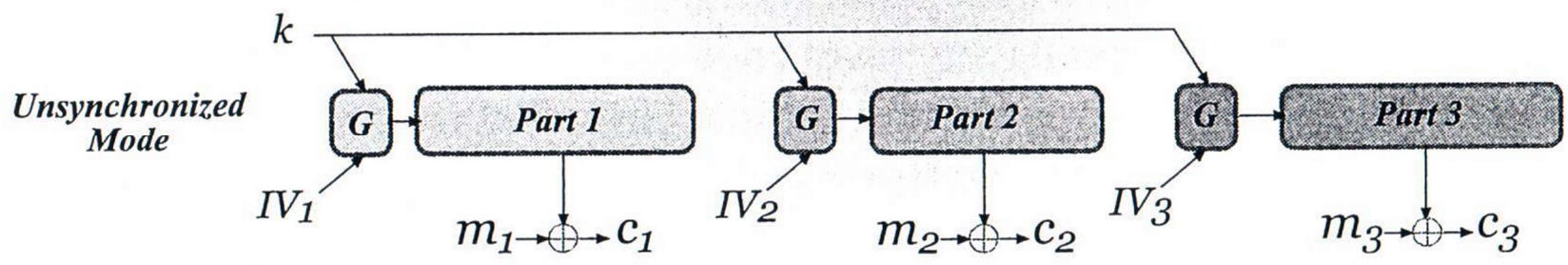
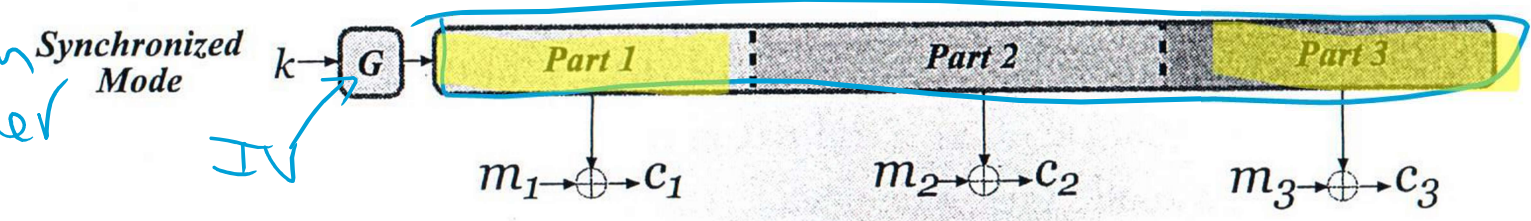
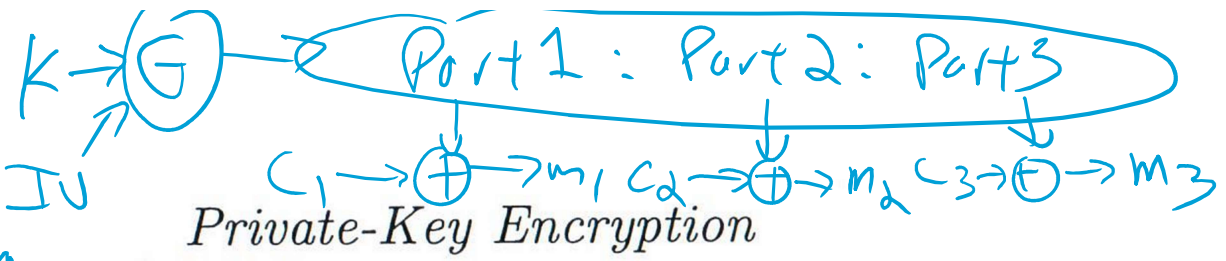
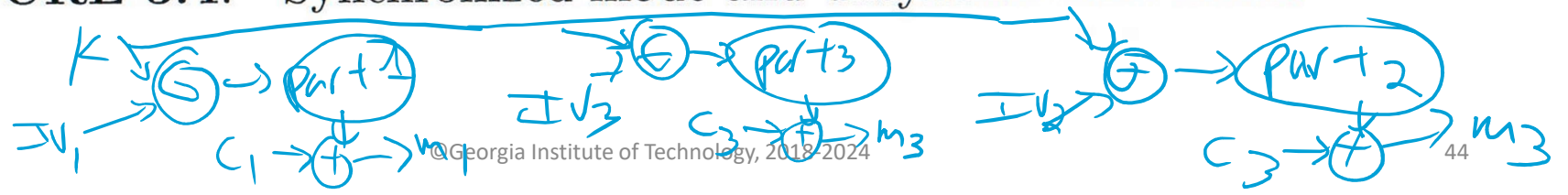
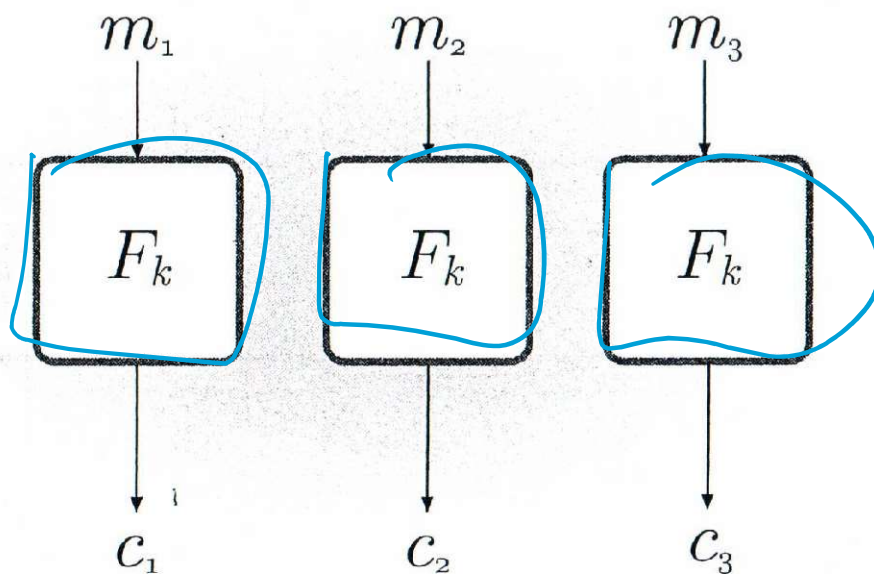


FIGURE 3.4: Synchronized mode and unsynchronized mode.

Unsynch. decrypt



Private-Key Encryption



NIIST 89  
1977

not  
CPA-  
Secure

**FIGURE 3.5:** Electronic Code Book (ECB) mode.

Figure 3.5. Decryption is done in the obvious way, using the fact that  $F_k^{-1}$  is efficiently computable.

$$C_1 = \text{Enc}_K(m_1)$$

$$C_2 = \text{Enc}_K(m_2)$$

Problem:

⋮  
Deterministic  $\triangleright$

if  ~~$C_i = C_j$~~

$$C_i = C_j$$



$$m_i = m_j$$

not

~~provide indist. enc.~~

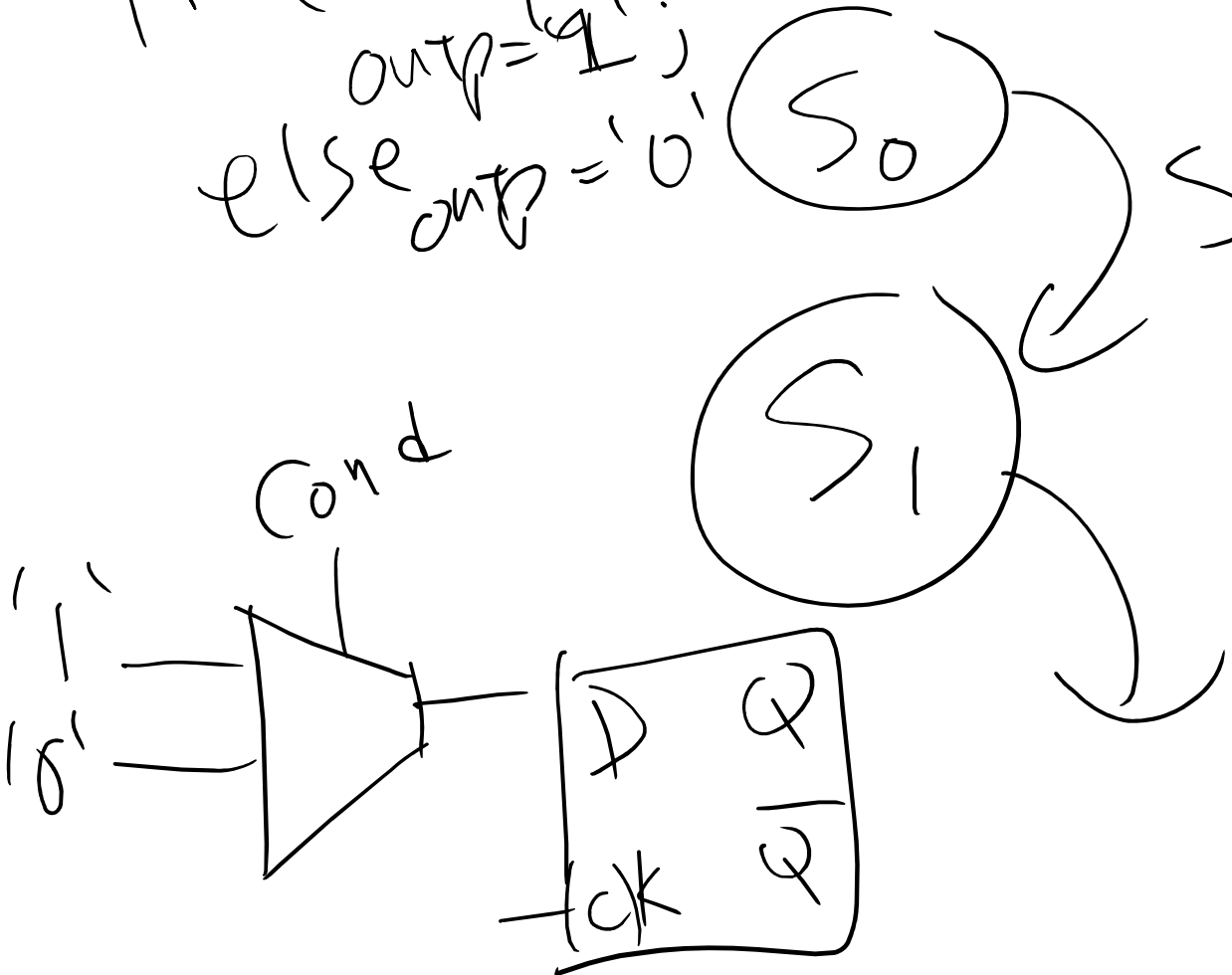
```

if (cond)
  outp = '1';
else
  outp = '0';

```

Suggest: create test bench for state machine

Start = sha256 is risky



$ax - 1 =$

while s0,  
 while<sup>out</sup> s1,  
 while s2



For these reasons, ECB mode should never be used. (We include it only because of its historical significance.)



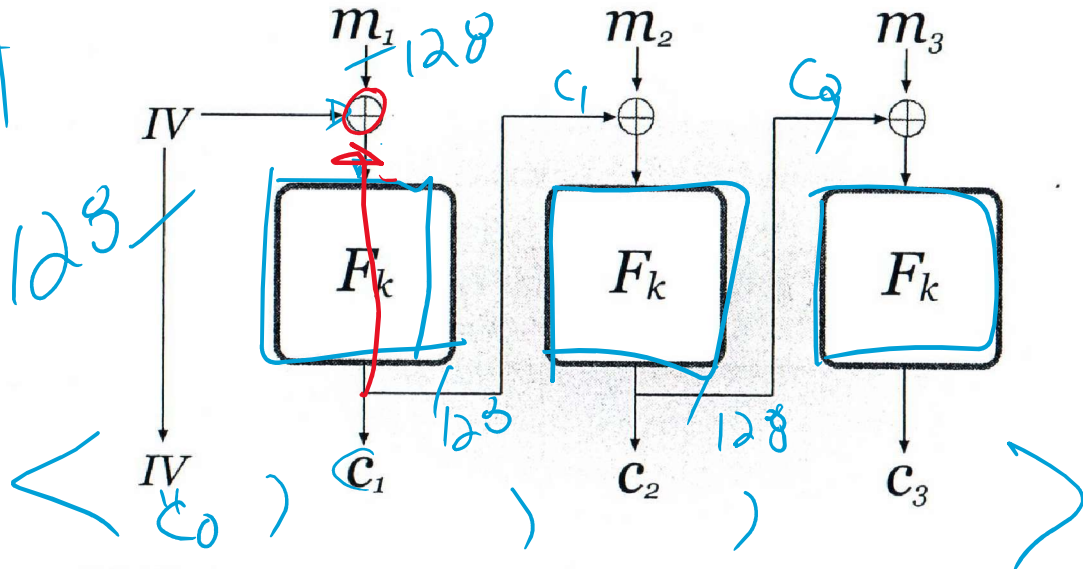
**FIGURE 3.6:** An illustration of the dangers of using ECB mode. The middle figure is an encryption of the image on the left using ECB mode; the figure on the right is an encryption of the same image using a secure mode. (Taken from <http://en.wikipedia.org> and derived from images created by Larry Ewing ([lewing@isc.tamu.edu](mailto:lewing@isc.tamu.edu)) using The GIMP.)





<sup>2</sup> From [https://en.wikipedia.org/wiki/Block\\_cipher\\_mode\\_of\\_operation](https://en.wikipedia.org/wiki/Block_cipher_mode_of_operation) and available under an open source license from Creative Commons.

3-block ciphertext



$(m)$   
 $= \langle m_1, m_2, m_3 \rangle$   
 $= 384$   
 $|C| = 512$

FIGURE 3.7: Cipher Block Chaining (CBC) mode.

**Cipher Block Chaining (CBC) mode.** To encrypt using this mode, a uniform initialization vector ( $IV$ ) of length  $n$  is first chosen. Then, ciphertext blocks are generated by applying the block cipher to the XOR of the current plaintext block and the previous ciphertext block. That is, set  $c_0 := IV$  and then, for  $i = 1$  to  $\ell$ , set  $c_i := F_k(c_{i-1} \oplus m_i)$ . The final ciphertext is  $\langle c_0, c_1, \dots, c_\ell \rangle$ . (See Figure 3.7.) Decryption of a ciphertext  $c_0, \dots, c_\ell$  is done by computing  $m_i := F_k^{-1}(c_i) \oplus c_{i-1}$ .

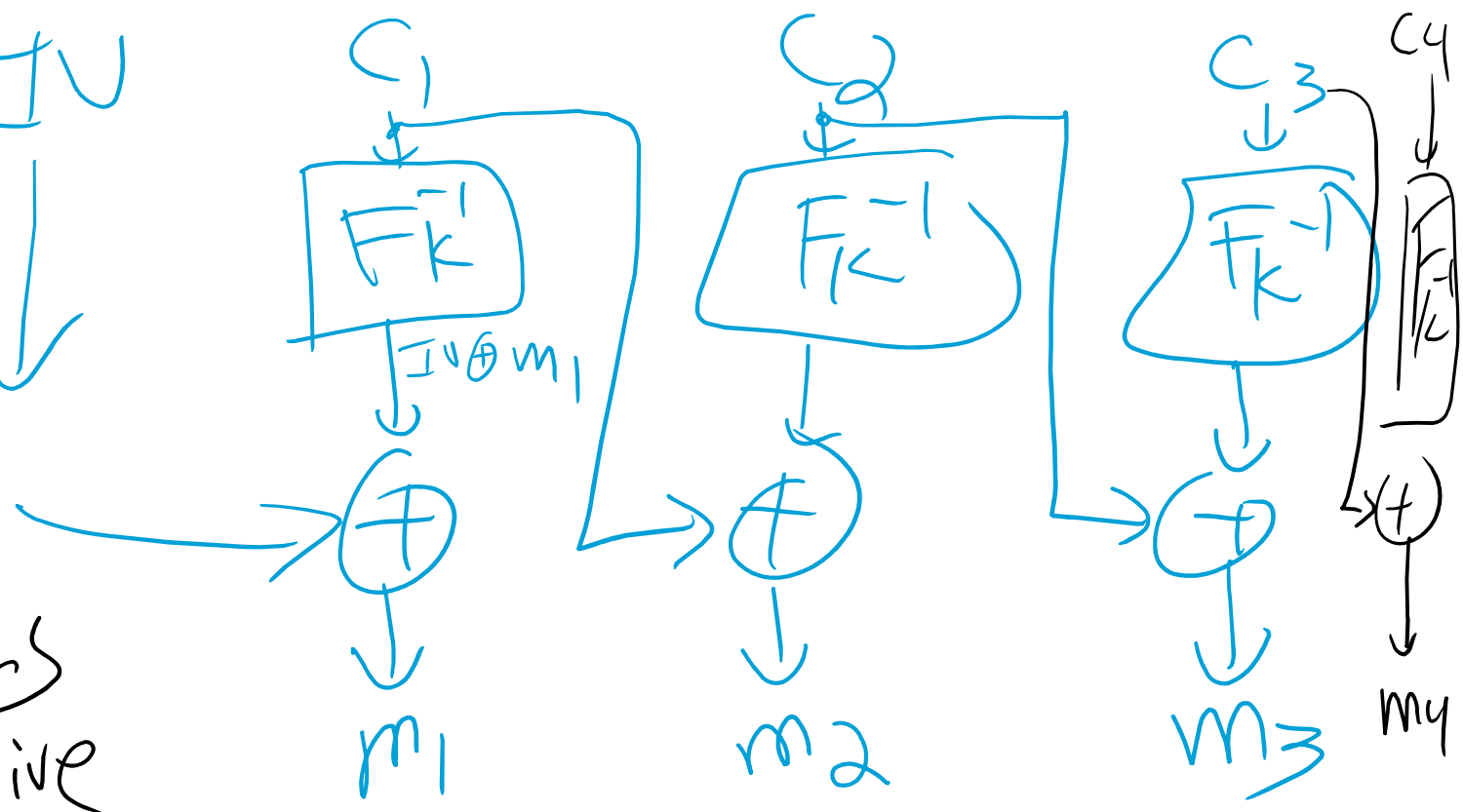
CBC  $C_0 = IV$

Decrypt:

what happens if receive

$IV, C_1, C_3, C_4$

$C_2$  gone know  $C_2$  lost



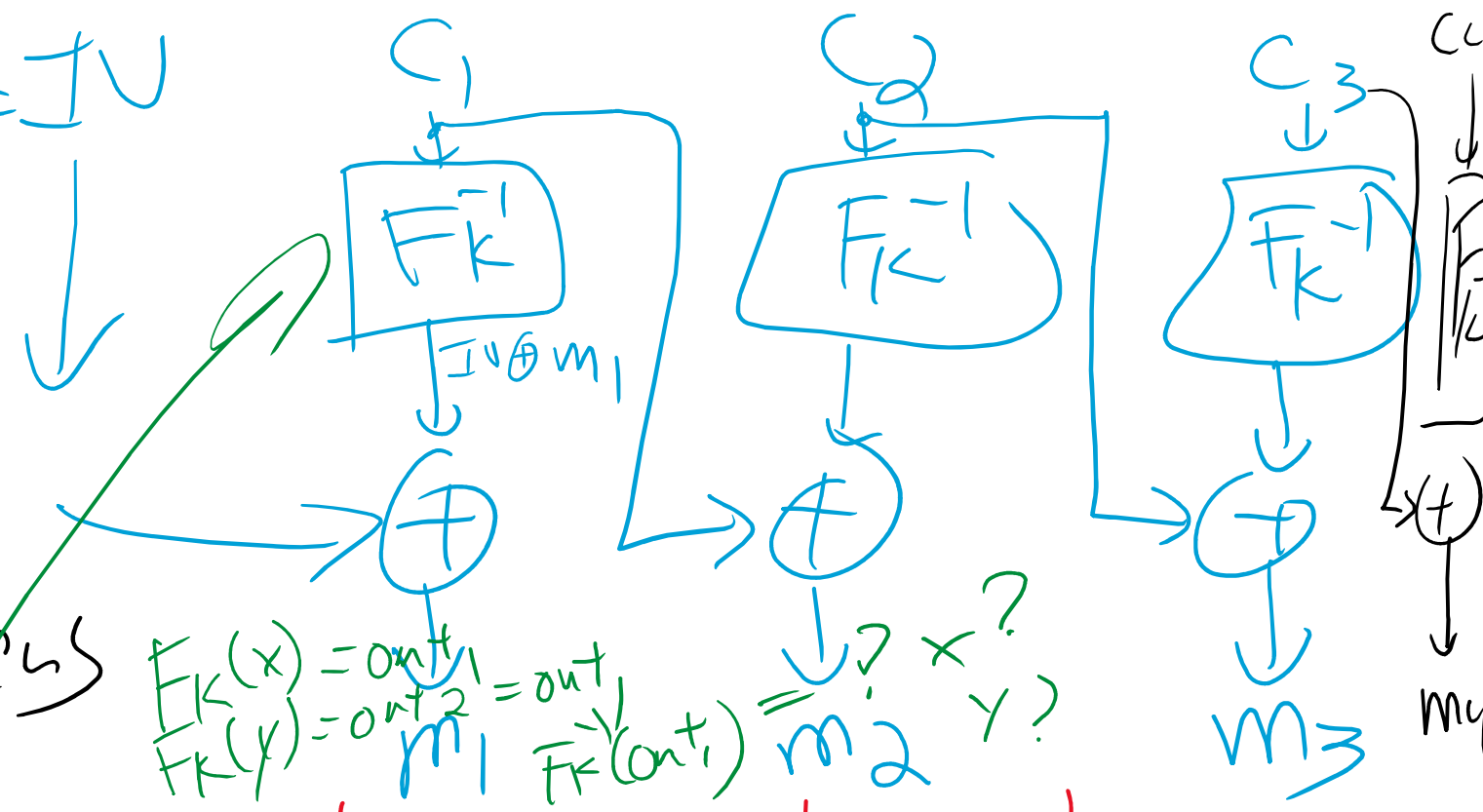
CBC  $C_0 = IV$

Decrypt:

what happens if  $m_1$

No because

$F_K$  is not a pseudorandom permutation but is a pseudorandom function



$$F_K(x) = \text{out}_1 = \text{out}_1$$

$$F_K(y) = \text{out}_2 = \text{out}_2$$

$$F_K(\text{out}_1) = m_2 \quad y?$$

AES is a pseudorandom permutation

Vulnerable to chosen plaintext attack

(i) attacker knows  $m_1$  chosen from  $\{m_1^0, m_1^1\}$

(ii) attacker observes  $IV, c_1, c_2, c_3$

(iii) attacker places  $IV \oplus m_1^0 \oplus c_3$

(iv) attacker observes  $c_4, c_5$

Private-Key Encryption

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$m_5 = \text{random}$

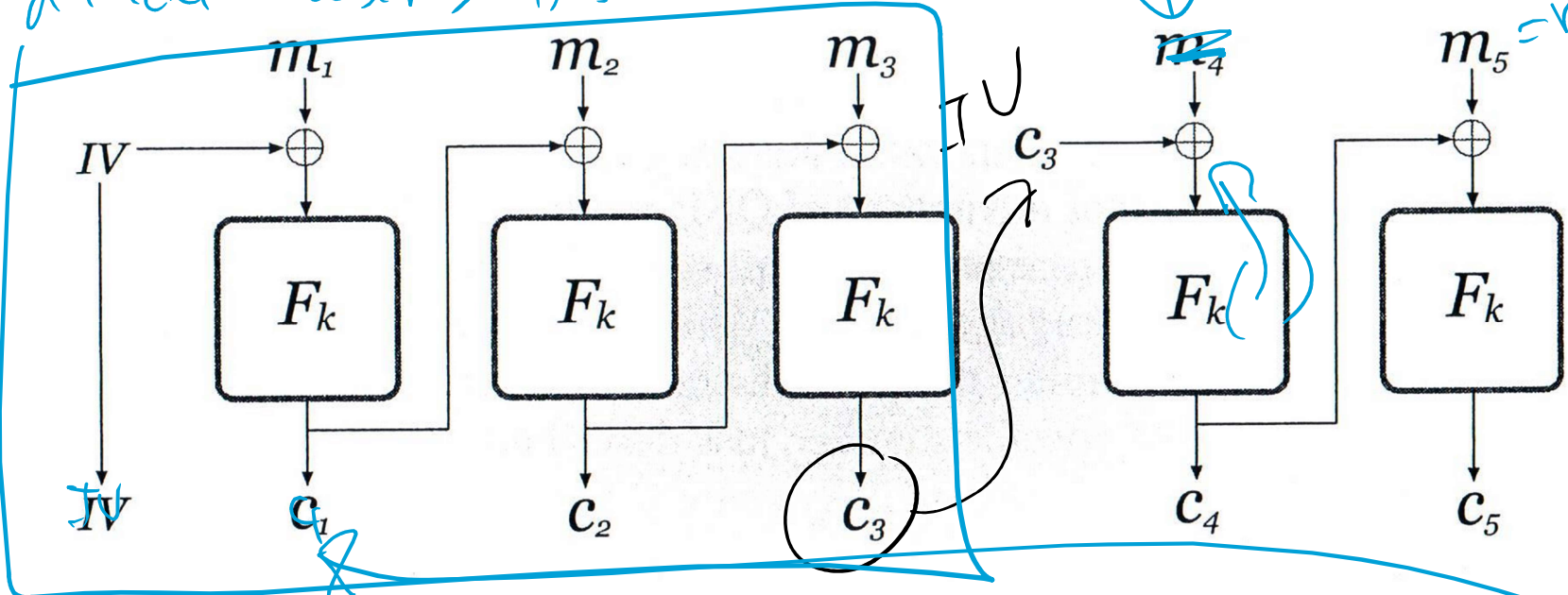


FIGURE 3.8: Chained CBC.

$$c_4 = F_k(c_3 \oplus m_3) = F_k(c_3 \oplus IV \oplus m_1^0 \oplus c_3) = F_k(IV \oplus m_1^0)$$

then  $c_1 =$

O.W.  $m_1 = m_1^0$



$$ctr_1 = ctr_2$$

$$\frac{1}{2^n}$$

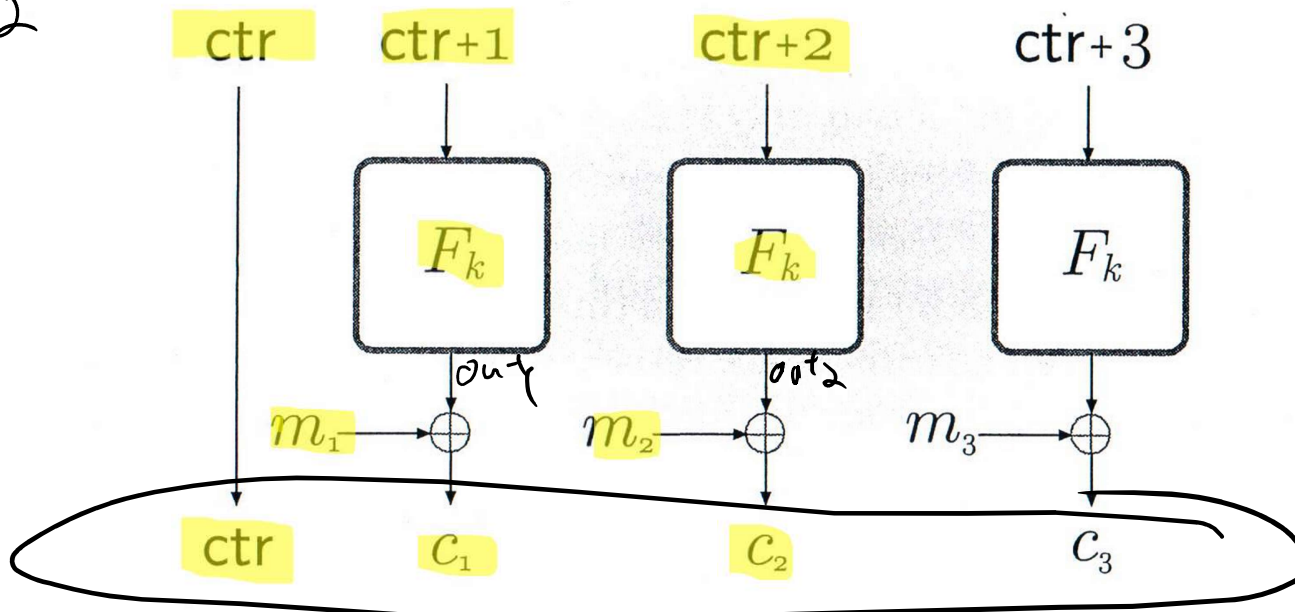
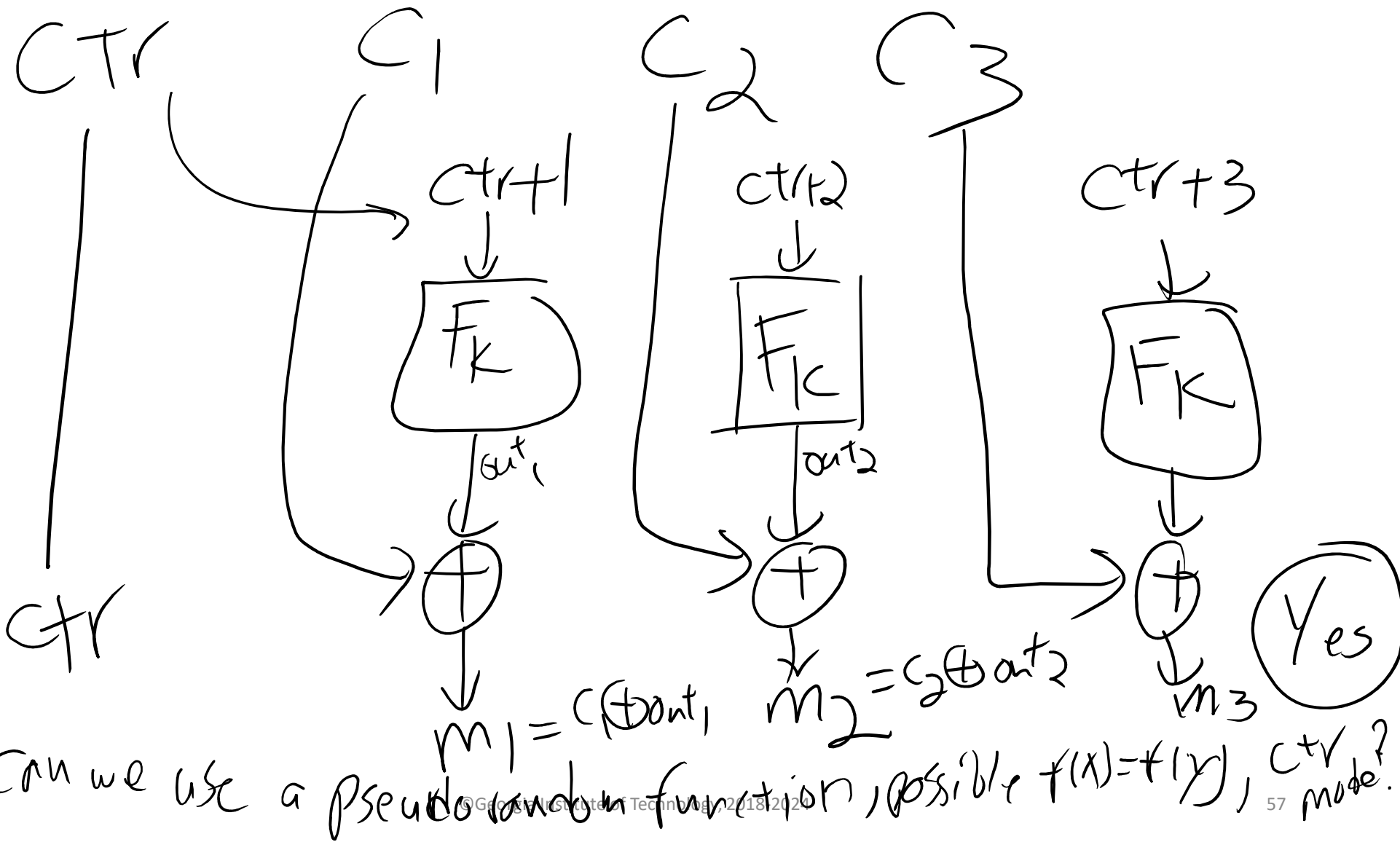


FIGURE 3.10: Counter (CTR) mode.

$$c_1 = m_1 \oplus out_1 \Rightarrow m_1 = c_1 \oplus out_1$$

**Counter (CTR) mode.** Counter mode can also be viewed as an unsynchronized stream-cipher mode, where the stream cipher is constructed from the block cipher as in Construction 3.29. We give a self-contained description here. To encrypt using CTR mode, a uniform value  $ctr \in \{0, 1\}^n$  is first chosen. Then, a pseudorandom stream is generated by computing  $y_i := F_k(ctr + i)$ ,





# Multiple Encryptions

Not covered  
No hw  
No test

- Ch. 3.4 of Katz and Lindell defines a multiple-message eavesdropping experiment  $\text{PrivK}_{A,\pi}^{\text{mult}}$

- Note that this multiple-message experiment  $\text{PrivK}_{A,\pi}^{\text{mult}}$  is different than  $\text{PrivK}_{A,\pi}^{\text{eav}}$  defined earlier (indistinguishable encryptions)!

- The end result is that  $\text{PrivK}_{A,\pi}^{\text{eav}}$  is not very useful as a standalone criterion

  - However,  $\text{PrivK}_{A,\pi}^{\text{eav}}$  is useful as a building block with formal properties!

- In practice  $\text{PrivK}_{A,\pi}^{\text{cpa}}$  is the weakest experiment / definition of interest

Not cover proof, but you should understand the result

**THEOREM 3.21** If  $\pi$  is a (stateless)<sup>5</sup> encryption scheme in which Enc is a deterministic function of the key and the message, then  $\pi$  cannot have indistinguishable multiple encryptions in the presence of an eavesdropper.

IS ECB stateless? Yes

<sup>5</sup> Note the ECB is stateless but the rest of the modes presented, including CBC and CTR (and variations w.r.t. the initial vector IV, etc.) are stateful.

If an IV is added each time then the IV creates new state each time

$$C_0 = \text{AES}_K(m_0)$$

$$C_1 = \text{AES}_K(m_1)$$

$$m_0 = m_1$$

$$\Rightarrow C_0 = C_1$$